STATE OF NEW

YORK

1582

2007-2008 Regular Sessions

IN SENATE

January 23, 2007

Introduced by Sen. LARKIN -- read twice and ordered printed, and when printed to be committed to the Committee on Civil Service and

Pensions

actions by public employers taken against public employees

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

Section 1. Section 75-b of the civil service law, as added by 1 chapter 2 660 of the laws of 1984, paragraph (a) of subdivision 2 as amended by 3 chapter 899 of the laws of 1986, is amended to read as follows: 4 § 75-b. Retaliatory action by public employers. 1. For the purposes of 5 this section the term: (a) "Public employer" or "employer" shall mean (i) the state 6 of New 7 York, (ii) a county, city, town, village, or any other political subdi-8 vision or civil division of the state, (iii) a school district or any 9 governmental entity operating a public school, college or university, 10 (iv) a public improvement or special district, (v) a public authority, 11 commission or public benefit corporation, or (vi) any other public

12 corporation, agency, instrumentality or unit of government which exer-13 cises governmental power under the laws of the state. 14 (b) "Public employee" or "employee" shall mean any person holding a 15 position by appointment or employment in the service of a public employ-16 er, except judges or justices of the unified court system and members of 17 the legislature. 18 (c) "Governmental body" shall mean (i) an officer, employee, agency, 19 department, division, bureau, board, commission, council, authority or 20 other body of a public employer, (ii) employee, committee, member $[\tau]$ or 21 commission of the legislative branch of government, (iii) a represen-22 tative, member or employee of a legislative body of a county, town, 23 village, or any other political subdivision or civil division of the 24 state, (iv) a law enforcement agency or any member or employee of a law EXPLANATION--Matter in italics (underscored) is new; matter in brackets [-] is old law to be omitted. LBD07463-01-7 2 S. 1582 1 enforcement agency, or (v) the judiciary or any employee of the judici-2 ary. 3 (d) "Personnel action" shall mean an action affecting compensation, 4 appointment, promotion, transfer, elimination of job title or classi-5 fication, assignment, reassignment, reinstatement or evaluation of 6 performance. 7 2. (a) A public employer shall not dismiss or take other disciplinary 8 or other adverse personnel action against a public employee regarding 9 the employee's employment because the employee discloses to a govern-10 mental body information: (i) regarding a violation of a law, rule or 11 regulation, which violation creates and presents a substantial and 12 specific danger to the public health or safety, or which could reason-13 ably be expected to lead to endangering the welfare of a minor, includ-

14 ing but not limited to physical, mental or sexual misuse or abuse; or 15 (ii) which the employee reasonably believes to be true and reasonably 16 believes constitutes an improper governmental action. "Improper govern-17 mental action" shall mean any action by a public employer or employee, 18 or an agent of such employer or employee, which is undertaken in the 19 performance of such agent's official duties, whether or not such action 20 is within the scope of his or her employment, and which is in violation 21 of any federal, state or local law, rule or regulation. 22 (b) Prior to disclosing information pursuant to paragraph (a) of this 23 subdivision, an employee shall have made a good faith effort to provide 24 the appointing authority or his or her designee the information to be 25 disclosed and shall [provide] have provided the appointing authority or 26 designee a reasonable time to take appropriate action, unless (i) there 27 is imminent and serious danger to public health or safety, or (ii) such 28 violation could reasonably be expected to lead to endangering the 29 welfare of a minor, including but not limited to physical, ment<u>al or</u> 30 sexual misuse or abuse. For the purposes of this subdivision, an 31 employee who acts pursuant to this paragraph shall be deemed to have 32 disclosed information to a governmental body under paragraph (a) of this 33 subdivision. 34 3. (a) Where an employee is subject to dismissal or other disciplinary 35 action under a final and binding arbitration provision, or other disci-36 plinary procedure contained in a collectively negotiated agreement, or 37 under section seventy-five of this title or any other provision of state 38 or local law, or the elimination of job title or classification that 39 uniquely fits and singles out such employee or a class of employees of 40 which such employee is a member and the employee reasonably believes 41 that such dismissal [or], other disciplinary action, or any other 42 adverse personnel action would not have been taken but for the conduct

43 protected under subdivision two of this section, he or she may assert 44 such as a defense before the designated arbitrator or hearing officer. 45 The merits of such defense shall be considered and determined as part of 46 the arbitration award or hearing officer decision of the matter. If 47 there is a finding that the dismissal $[\mathbf{or}]_{\underline{r}}$ other disciplinary action<u>,</u> 48 or other personnel action is based [solely] primarily on a violation by 49 the employer of such subdivision, the arbitrator or hearing officer 50 shall dismiss or recommend dismissal of the disciplinary proceeding, as 51 appropriate, and, if appropriate, reinstate the employee with back pay, 52 and, in the case of an arbitration procedure, may take other appropriate 53 action as is permitted in the collectively negotiated agreement. (b) Where an employee is subject to a collectively 54 negotiated agree-55 ment which contains provisions preventing an employer from taking 56 adverse personnel actions and which contains a final and binding arbi-S. 1582 З 1 tration provision to resolve alleged violations of such provisions of 2 the agreement and the employee reasonably believes that such personnel 3 action would not have been taken but for the conduct protected under 4 subdivision two of this section, he or she may assert such as a claim 5 before the arbitrator. The arbitrator shall consider such claim and 6 determine its merits and shall, if a determination is made that such 7 adverse personnel action is based on a violation by the employer of such 8 subdivision, take such action to remedy the violation as is permitted by 9 the collectively negotiated agreement. 10 (b-1) Where an employee is the subject of an adverse personnel action, such as the elimination of a job title or classification that 11 uniquely 12 fits and singles out such employee or a class of employees of which such 13 employee is a member, and action is not a dismissal or other discipli-14 nary action that is subject to a hearing, and the employee reasonably

15 believes that such personnel action would not have been taken but for 16 the conduct protected under subdivision two of this section, such 17 employee may bring an action or proceeding in a court of competent 18 jurisdiction to prohibit such public employer from taking such action. 19 The court shall consider such claim and determine its merits; provided, 20 that the public employer may present evidence that the adverse personnel 21 action was taken independently of the employee's conduct pursuant to 22 subdivision two of this section. A claim of an independent, adverse 23 personnel action by a public employer must be proven by clear and 24 convincing evidence. The court shall award reasonable attorneys' fees, 25 costs, and disbursements to a prevailing plaintiff employee. 26 (c) Where an employee is not subject to any of the provisions of para-27 graph (a) or (b) of this subdivision, the employee may commence an 28 action in a court of competent jurisdiction under the same terms and 29 conditions as set forth in article twenty-C of the labor law. 30 4. Nothing in this section shall be deemed to diminish or impair the 31 rights of a public employee or employer under any law, rule, regulation, 32 or collectively negotiated agreement or to prohibit any personnel action 33 which otherwise would have been taken regardless of any disclosure of 34 information. 35 § 2. This act shall take effect immediately and shall be deemed to 36 have been in full force and effect on and after January 1, 2007.